

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3087163
<b>Application Number:</b>	10086769
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8954
<b>Title of Invention:</b>	Investment portfolio compliance system
<b>First Named Inventor/Applicant Name:</b>	Joseph M. Kochansky
<b>Customer Number:</b>	21874
<b>Filer:</b>	Jacob P. Martinez
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	58985(49357)
<b>Receipt Date:</b>	01-APR-2008
<b>Filing Date:</b>	01-MAR-2002
<b>Time Stamp:</b>	20:08:35
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$120
RAM confirmation Number	3705
Deposit Account	041105
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	58985_49357_Transmittal_Form.pdf	83113 261098b26471d905c742cd357d5a71aef 85a1a85	no	1

### Warnings:

### Information:

2	Extension of Time	58985_49357_Ext_of_Time.pdf	86591 fccc1604532baef99af8f016bc2244fb 50549600	no	1
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### Warnings:

### Information:

3	Amendment After Final	58985_49357_Amend_and_Resp.pdf	652649 036123ff332a2a0b751834695d5f79c33 6829c45	no	15
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### Warnings:

### Information:

4	Fee Worksheet (PTO-06)	fee-info.pdf	8151 25109914463265259d3e150ad59c54 565ea15d	no	2
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### Warnings:

### Information:

Total Files Size (in bytes): 830504

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.